

## “Compliance Board”

Details of Trading Terminal	
Name of The Trading Member	Angel One Ltd.
Address & Tel. No. of the Main office of the Trading Member	601, 6 <sup>th</sup> Floor, Ackruti Star, Central Road, MIDC, Andheri East, Mumbai - 400093.
SEBI Registration Nos. of the Trading Member	INZ000161534 (NSE/BSE/MCX/NCDEX/MSEI)
Name, Designation & Tel. No. of the Contact Person in the Main office of the Trading Member	Mr. Bineet Jha Compliance Officer Tel No:08657864228
BSE Investor Service Cell Tel No.	(022) 2272 1233 / 34
NSE	1800 22 0058
MCX-SX	(022) 673 1900 Ext. 8883
Sub Broker/Authorised Person Office Details	
SEBI/AP Registration No and Name of the Sub broker /Auhtorised Person	<i>Charchit Info Services Private Limited</i> _____ _____ _____
Address & Tel. No of the sub-broker's/ Authorised Person's office, where Trading Terminal of above TM is Located	<i>AKT HOUSE PWT NO-C1-C-04-32 OPPOSITE ARENJA CAMPLEXSECTOR-8 CBD BELAPUR NAVI MUMBAI, NAVI MUMBAI, Maharashtra, India 400614 8080809061</i>

## Do's & Don'ts

### Do's

- Always deal with market intermediaries registered with SEBI/Exchanges.
- Give clear and unambiguous instructions to your broker/agent/depository participant.
- Always insist on contract notes for all the transactions from Angel One Ltd. within 24 hours of the trade execution. In case of doubt of the transactions, verify the genuineness of the same on the Exchange website. [www.bseindia.com](http://www.bseindia.com) or [www.nseindia.com](http://www.nseindia.com) or [www.mcx-sx.com](http://www.mcx-sx.com).
- Always settle the dues through the normal banking channels with the market intermediaries.
- Always make payment directly to Angel One Ltd. by account payee cheque/DD or funds transfer through banking channels and do not involve in cash dealings.
- Always give delivery of shares directly to Angel One Limited.
- Adopt trading/investment strategies commensurate with your Risk bearing capacity as all investments carry risk, the degree of which varies according to the investment strategy adopted.
- Always sign a Member-Client Agreement or the tripartite agreement with the trading member & SEBI registered Sub-broker/Authorised Person of the trading member of BSE/NSE/MCX-SX as the case may be, before starting dealings.
- Please carry out due-diligence before registering as client with any Intermediary. Also, carefully read and understand the contents stated in the Risk Disclosure Document, which forms the part of client registration for dealing through intermediaries in the Stock Market.

### Don'ts

- Don't deal with unregistered brokers/Authorised Persons, intermediaries.
- Don't leave the custody of your Demat Transaction slip book in the hands of any Intermediary.
- Don't fall prey to promises of guaranteed returns.
- Don't blindly imitate investment decisions of others who may have profited from their investment decisions.

For further details on Investor Rights and Obligations and other related issues, please refer to the Investor Forum Section on the NSE website @ [www.nseindia.com](http://www.nseindia.com) or Investor Desk on the BSE website @ [www.bseindia.com](http://www.bseindia.com) or the Investor Service Centres of MCX Stock Exchange Limited or the booklet 'Guiding Light for Investors', copy of which is available with the Trading Member/Registered Sub-broker/Authorised Person.



Dear Investor,

In case of any grievance / complaint in relation to the services provided by Angel One Limited as a Stock Broker / Depository Participant:

- Please contact Compliance Officer **Mr. Bineet Jha** on email-id ([compliance@angelone.in](mailto:compliance@angelone.in)) and/or Phone No. 08657864228
- You may also approach CEO/MD **Mr. Dinesh Thakkar** on email-id ([ceoescalation@angelone.in](mailto:ceoescalation@angelone.in)) and/Phone No. 08657864229.
- If not satisfied with the response of Angel One Limited, you may contact the concerned Stock Exchange / Depository at the following:

	Web Address	Contact No.	Email-ID
<b>BSE</b>	<a href="http://www.bseindia.com">www.bseindia.com</a>	(022) 2272 8097	<a href="mailto:is@bseindia.com">is@bseindia.com</a>
<b>NSE</b>	<a href="http://www.nseindia.com">www.nseindia.com</a>	1800 22 0058	<a href="mailto:ignse@nse.co.in">ignse@nse.co.in</a>
<b>MCX-SX</b>	<a href="http://www.mcx-sx.com">www.mcx-sx.com</a>	(022) 673 1900 Ext. 8883	<a href="mailto:investorcomplaints@mcx-sx.com">investorcomplaints@mcx-sx.com</a>
<b>CDSL</b>	<a href="http://www.cdslindia.com">www.cdslindia.com</a>	(022) 2272 3333	<a href="mailto:complaints@cdslindia.com">complaints@cdslindia.com</a>
<b>MCX</b>	<a href="http://www.mcxindia.com/">www.mcxindia.com/</a>	(022) 6649 4070	<a href="mailto:grievance@mcxindia.com">grievance@mcxindia.com</a>
<b>NCDEX</b>	<a href="https://ncdex.com/index.aspx">https://ncdex.com/index.aspx</a>	(022) 6640 6789	<a href="mailto:ig@ncdex.com">ig@ncdex.com</a>

You can also lodge your grievances with SEBI at <http://scores.gov.in>.  
For any queries, feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575.



Dear Investor,

In case of any grievance / complaint in relation to the services provided by Angel One Private Limited as a Portfolio Manager:

- Please contact Compliance Officer **Mr. Bineet Jha**. on email-id (compliance@angelone.in) and/or Phone No. 08657864228
- You may also approach CEO/MD **Mr. Dinesh Thakkar** on email-id (ceoescalation@angelone.in) and/Phone No. 08657864229.
- If not satisfied with the response of the intermediary you can lodge your grievances with SEBI at <http://scores.gov.in> or you may also write to any of the offices of SEBI. For any queries, feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575.